



PL1/03. 2004/000200

REC'D 03 MAY 2004

WFO

FAT



INVESTOR IN PEOPLE

## PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

The Patent Office  
Concept House  
Cardiff Road  
Newport  
South Wales  
NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated 16 February 2004

## Patents Form 1/77

Patent Act 1977  
(Rule 16)

THE PATENT OFFICE  
SW  
30 APR 03 1803604 D10149  
30 APR 2003 00-1309778.9

1 / 77

RECEIVED BY FAX

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

## Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form.)

1. Your reference

30078 GB

30 APR 2003

2. Patent application number  
(The Patent Office will fill in this part)

0309778.9

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Givaudan SA  
Chemin de la Parfumerie 5  
1214 Vernier  
Switzerland

Patents ADP Number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

Switzerland

840803/00/1

4. Title of the Invention

DEVICE

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Centre for Innovative Technology (Givaudan UK Ltd.)  
76-80 Church Street, Staines  
Middlesex TW18 4XR  
United Kingdom

Patents ADP number (if you know it)

8447815/00/1

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)Date of filing  
(day/month/year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.  
See note (d)

yes

Patents Form 1/77

## Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document

Continuation sheets of this form

Description 8

Claim(s) 1

Abstract 1

Drawing(s) 3

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date 30/04/03

12. Name and daytime telephone number of person to contact in the United Kingdom

Colin Brown (office time) Tel. No: 01/784417721

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505
- b) Write your answers in capital letters using black ink or you may type them.
- c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.
- f) For details of the fee and ways to pay please contact the Patent Office

**DUPLICATE****DEVICE**

5 The present invention relates to improved dispensing devices. More particularly the present invention relates to dispensing devices useful for the delivery of a volatile or evaporable material to an ambient environment, such as a room, or interior of a vehicle.

10 Various devices useful for the delivery of volatile materials such as fragrances, odor masking agents, insecticides, medicaments well other volatile materials which may have a cosmetic, insecticidal or medical effect are known in the art. One particular class of such devices include those which are used for the delivery of a liquid composition which is volatile, or evaporable, to an ambient environment. Typically such includes a reservoir or other container capable of containing an amount of such a volatile material, which reservoir or other container includes a neck through which protrudes a wick. The wick operates to 15 transport the liquid by means of capillary action from the interior of the reservoir to the ambient environment, into which it evaporates or volatilizes. Such devices are simple, and frequently effective. In certain embodiments, such devices are supplied as part of a larger apparatus, which may provide an external feature for static diffusion systems or it may include a means of diffusing the volatile liquid, such as a heat source, which may surround 20 some or part of the wick, and when heated induces more rapid volatilization of the liquid, or a fan that causes more rapid volatilization of the liquid into the ambient environment.

25 Such devices may be of a single-use type wherein they are provided to a consumer, who discards the complete air treatment device when the supply of volatile material has been exhausted, or may be of a multi-use device wherein the consumer replaces only a refill in the air treatment device when the supply of volatile material has been exhausted. The latter permits the consumer to reuse the air treatment device, and indeed provides flexibility in its use as often various different volatile liquids may be available for use, and the consumer can select among these those which are most appropriate or most favored for use.

30 While such devices often effective, they are nonetheless not without shortcomings. One primary concern resides in the fact that the use of inappropriately dimensioned refills in air treatment devices provide a real risk of malfunction which may annoying to the consumer,

30078 GB

and may also be dangerous in certain instances. The manufacture of air treatment devices require precision in their design and assembly and when of the multi-use type, the use of appropriate refills is important to their optimal performance. Such inappropriate refills may, for instance, be refills produced by an unauthorized supplier which may not meet the specific dimensions or the specific quality of appropriate refills which are specifically designed and produced for use in the specific dispensing devices. While the use of inappropriately dimensioned refills is to be avoided due to the risk of malfunction, nonetheless consumers may inadvertently or inappropriately seek to use inappropriate refills in multi-use type of air treatment device.

10 Accordingly, there is a real and urgent need in the art for improved devices useful for the delivery of volatile materials such as fragrances, odor masking agents, insecticides, medicaments as well as other volatile materials which improved devices provide a means for denying the use of inappropriate refills in an air treatment device.

15 In one aspect the present invention provides a dispensing device for dispensing a volatile material to an ambient environment comprising:

20 a refill which includes a reservoir containing a volatile liquid, and a porous wick having a lower portion extending within the reservoir and adapted to be in fluid communication with said volatile liquid within the reservoir and an upper portion extending outside of the reservoir and adapted to be in fluid communication with an ambient environment, wherein the upper portion of the porous wick has a shaped recess;

and,

25 a housing element adapted to engage the shaped recess in the upper portion of the porous wick when the dispensing device is assembled.

A still further aspect of the invention is directed to a process for preventing the use of inappropriate refills in an air treatment device.

30 These and other aspects of the invention will become apparent from the following specification and figures.

Fig. 1 depicts a cross-sectional schematic view of the cover portion of a dispensing device.

Fig. 2 illustrates a cross-sectional schematic view of the refill portion of a dispensing device according to the invention.

30078 GB

Fig. 3 illustrates a cross-sectional schematic view of the cover portion depicted on Fig. 1 and the refill portion depicted on Fig. 2 assembled to form a dispensing device 5 according to the invention.

5 Fig. 4 shows a schematic view from the end of the wick according to the inventive embodiment illustrated on Figures 1, 2 and 3.

Fig. 5 illustrates a cross-sectional schematic view of an alternate embodiment of the wick according to the invention.

Fig. 6 illustrates a cross-sectional schematic view of a further alternate embodiment of the wick according to the invention.

10 The invention provides a means for preventing the use of inappropriate refills in an air treatment device by providing a shaped recess in the upper portion of the porous wick forming a part of the refill device and a housing element adapted to engage the shaped recess in the upper portion of the porous wick when the dispensing device is assembled.

15 Fig. 1 depicts a cross-sectional schematic view of the cover 10 portion of a dispensing device, including the housing element 11 adapted to engage a shaped recess in the upper portion of the porous wick when the dispensing device is assembled. The cover 10 has an inlet 12 adapted to receive a portion of a reservoir containing a volatile liquid, and further includes two vent holes 14, which permits for volatilized liquid to evaporate from within the interior of the cover 10. In the embodiment shown in Fig. 1, the inlet 12 includes a series of 20 threads 18 dimensioned to provide a mating fit with corresponding threads present on the reservoir of the refill (not shown). While two vent holes 14 are depicted, a lesser or greater number may be included in the cover 10. Indeed, in the simplest form of the invention, no cover is required, and any arrangement of elements or parts which provides for a housing element adapted to engage the shaped recess in the upper portion of the porous wick when 25 the dispensing device is assembled is sufficient. The said housing element which may be associated with a different part of the air treatment device other than a cover as shown here and still fall within the inventive teaching.

30 Fig. 2 depicts in cross-section a schematic view of a refill 15 of a dispensing device according to the invention. The refill 15 includes a reservoir 20 containing a volatile liquid 22, and a porous wick 24 having a lower portion 26 extending within the reservoir 20 and in fluid communication with the volatile liquid 22, and an upper portion 27 extending

30078 GB

outside of the reservoir and adapted to be in fluid communication with an ambient environment. In the embodiment shown, the porous wick 24 is retained in the reservoir 20 by an annular fitment 28 within the neck 30 of the reservoir 20. The neck 30 includes exterior threads 32 which are dimensioned to mate with corresponding threads 18 of the cover 10.

5 The upper portion 27 of the porous wick 24 further includes a shaped recess 31 extending downwardly from the top end 33 of the porous wick 24. The shaped recess 31 defines a cavity having an open end 35 and a bottom end 37 within the upper portion 27 of the porous wick 24. In the embodiment shown, the shaped recess 31 includes a first tapered region 35A, adjacent to the top end 33, and a cylindrical region 35B extending downwardly from the tapered region 35A and terminating at the bottom end 37. In the embodiment shown the total height of the shaped recess 31 extends concentrically within the wick 24 from the end 33 thereof to about the level of the fitment 28, however different total heights and indeed different configurations for the shaped recess 31 may be practiced within the scope of the present invention. It is only required that when the refill 15 is assembled into the dispensing device, that at least a portion of the housing element 11 engages the shaped recess 31 in the porous wick 24 when the dispensing device is assembled.

10

15

Fig. 3 illustrates a cross-sectional schematic view of the cover portion depicted on Fig. 1 and the refill portion depicted on Fig. 2 assembled to form a dispensing device according to the invention. The dispensing device is assembled by inserting the neck 30 of the reservoir 20 into the inlet 12 of the cover 10, and rotating the corresponding mating threads 18, 30 to removably assemble the cover 10 with the reservoir 20 and in so doing, simultaneously engage the portion of the housing element 11 within the shaped recess 31 in the porous wick 24. Where the shaped recess 31 includes a first tapered region 35A adjacent to the top end 33 entry of the end 11A of the housing element 11 is facilitated, as the tapered sidewalls aid in guiding the housing element 11, particularly if the end 11A is also tapered as shown in Figures 1 and 3. When assembled the length of the housing element 11 is sufficient such that the end 11A is adjacent to the bottom end 37 however such is not essential.

20

25

It is to be understood that while mating screw threads are discussed and depicted on Figures 1 and 2, the method of insertion can involve other techniques of assembly including, e.g., snapping the refill into place in a cavity having features that engage the thread, such as

30

30078 GB

an extended pin or flexible tab, as well as snapping the refill in place in a larger cavity that engages features on the refill itself, other than on the neck.

As will be understood from the foregoing and from Figures 1, 2 and 3 the shaped recess in the upper portion of the porous wick forming a part of the refill cooperates with an appropriately dimensioned and appropriately positioned housing element adapted to engage the shaped recess when the dispensing device is assembled. The use of an inappropriately shaped recess in the wick of the refill denies for the consumer to insert and completely assemble an air treatment device, and dissuades or defeats its use. While the embodiment shown in and described in Figures 1, 2 and 3 illustrate a shaped recess 31 having a generally circular cross-section and a housing element 11 which was also generally circular in cross-section such is illustrative and not limiting of the invention as any other shape may be used for shaped recess 31 and/or the housing element 11 which engages the shaped recess 31 in order to deny the use of inappropriate refills in the dispensing device. The use of a plurality of shaped recesses 31 and/or a plurality of housing elements 11 is also contemplated.

Fig. 4 shows a schematic view from the end of the wick according to the inventive embodiment illustrated on Figures 1, 2 and 3. In this view, then end 33 of the upper portion 27 of the porous wick is visible, as well as the tapered region 35A adjacent to the top end 33, and a cylindrical region 35B extending downwardly from the tapered region 35A and terminating at the bottom end 37, all of which are concentric with the central axis of the porous wick.

Fig. 5 illustrates a cross-sectional schematic view of the upper portion 27 of the porous wick in an alternate embodiment of the wick according to the invention. Herein the recess 31 is a channel cut through the upper portion 27 of the porous wick which divides it into two sections, 29A and 29B. While not illustrated such an embodiment of the upper portion 27 of the porous wick and recess 31 is particularly suited for use with a housing element have a planar geometry. Such elements are well suited for use with a snap-in type of refill.

Fig. 6 illustrates a cross-sectional schematic view of the upper portion 27 of the porous wick in a further alternate embodiment of the wick according to the invention. Herein the recess 31 is defined by two intersecting channels 31A, 3113 cut through the upper portion 27 of the porous wick which divides it into four sections, 39A, 3913, 39C and 39D. While not

30078 GB

illustrated such an embodiment of the upper portion 27 of the porous wick and recess 31 is particularly suited for use with a housing element having two intersecting planar plates or parts which define a cross. Such elements are well suited for use with a snap-in type of refill.

With regard to the various elements of the dispensing devices according to the present invention, any material which can be suitably formed or shaped into forms appropriate to fulfilling the functions described above can be used. For example, for the reservoir 20 and fitment 28 of the refill 15, exemplary materials are non-porous materials which, by way of non-limiting example, include glass, ceramic materials, metals, as well as various polymers including high density polyolefins especially high density polyethylene, polyalkylene terephthalates, such as polyethylene terephthalate, polyvinyl chloride, Berex(R), as well as other materials which are not deleteriously affected by the volatile liquid. Desirably the reservoir is formed of a clear or translucent material, which may be optionally colored such as a glass or suitable polymer. Polymeric materials are particularly suited for forming the fitment as such are typically readily moldable, although of course other materials may be used as well. The reservoir itself may be of any shape, form or configuration which is suited to the containment of the volatile liquid which is to be provided therein. Thus, various shapes and configurations based on aesthetic reasons having pleasing forms and shapes may be produced.

With regard to the porous wick which is included in the dispensing devices according to the present invention, the porous wick may be formed of known art materials. Such materials include, by way of non-limiting example, those based on natural or synthetic fibers, woven or non-woven fabrics, cords, rods or other articles, porous media such as those based on celluloses such as cardboard- based and paper-based wicks, graphite and carbonaceous wicks, as well as porous synthetic polymers and porous ceramic materials. Such materials are commercially available from a variety of sources, including porous synthetic polymers from Porex Corp., (Fairburn, GA, USA) and Micropore Plastics Inc. (Stone Mountain, GA) as well as porous ceramics available from Rauschert GmbH & Co.- KG (Germany). With regard to the porous wick, it is only required that it be capable of absorbing the volatile liquid 26 in the reservoir 20, and transport it by means of capillary action. The shape of the wick itself, particularly its cross-sectional shape may be of any shape, form or configuration and is not limited to the form shown in the Figures, which is a

30078 GB

porous wick having a circular cross-sectional shape. Indeed, a porous wick having flat sidewalls or partially flat sidewalls which are contacted by the secondary wick when the dispensing device is assembled are specifically contemplated.

The volatile liquid which may be used in the dispensing devices taught herein 5 may be any volatile or evaporable material, such as fragrances, odor masking agents, insecticides, medicaments as well as other volatile materials which may have a cosmetic, insecticidal or medical effect which is known to the art. Desirably the volatile liquid is one or more liquids which have a cosmetic effect such as a fragrancing or odor masking effect such as may be based on one or more essential oils, or volatile liquids which have an insecticidal 10 effect or a medical effect. By way of non-limiting example, useful essential oils include one or more of: anethole 20/21 natural, aniseed oil china star, aniseed oil globe brand, balsam (Peru), basil oil (India), black pepper oil, black pepper oleoresin 40/20, Bois de Rose (Brazil) FOB, borneol flakes (China), camphor oil, canaga oil (Java), cardamom oil, cassia oil 15 (China), cedarwood oil (China), cinnamon bark oil, cinnamon leaf oil, citronella oil, clove bud oil, clove leaf, coriander (Russia), coumarin (China), cyclamen aldehyde, diphenyl oxide, ethyl vanillin, eucalyptol, eucalyptus oil, eucalyptus citriodora, fennel oil, geranium oil, ginger oil, ginger oleoresin (India), white grapefruit oil, guaiacwood oil, gurjun balsam, heliotropin, isobornyl acetate, isolongifolene, juniper berry oil, L-methyl acetate, lavender oil, lemon oil, lemongrass oil, lime oil, litsea cubeba oil, longifolene, menthol, methyl cedryl 20 ketone, methyl chavicol, methyl salicylate, musk ambrette, musk ketone, musk xylol, nutmeg oil, orange oil, patchouli oil, peppermint oil, phenyl ethyl alcohol, pimento berry oil, pimento leaf oil, rosalin, sandalwood oil, sandenol, sage oil, clary sage, sassafras oil, spearmint oil, spike lavender, tagetes, tea tree oil, vanillin, vetyver oil (Java), wintergreen.

These and other suitable materials which may be useful in the volatile liquid may be 25 commercially obtained from a variety of suppliers including: Givaudan Corp. (Teaneck, NJ); Berje Inc. (Bloomfield, NJ); BBA Aroma Chemical Div. of Union Camp Corp. (Wayne, NJ); Firmenich Inc. (Plainsboro NJ); Quest International Fragrances Inc. (Mt. Olive Township, NJ); Robertet Fragrances Inc. (Oakland, NJ), or from other suppliers not necessarily listed herein. The volatile liquids may be provided in the form of neat compositions, or may be 30 provided as aqueous mixtures, organic mixtures or aqueous-organic mixtures which include of one or more volatile or evaporable materials.

30078 GB

The dispensing device according to the invention may be used to dispense a volatile liquid in and of itself, or the dispensing device may be used further in conjunction with a further apparatus such as in a heated vaporizer device wherein at least a portion of the dispensing device is heated so to controllably induce the rate of delivery of the volatile liquid to the ambient environment. In conjunction with such a heated vaporizer device the dispensing device is particularly conveniently used as a refill for said heated vaporizer device. Similarly, the dispensing device may be used in conjunction with a further apparatus such as in a device which induces an airflow, such as by the use of a fan or other device, wherein at least a portion of the dispensing device, particularly the secondary wick is inserted in the induced airflow so to controllably induce the rate of delivery of the volatile liquid to the ambient environment.

The dispensing device according to the invention may be used to dispense a volatile liquid in any ambient environment. Non-limiting examples of ambient environments include interior spaces of buildings or other structures such as rooms, hallways, elevators, common areas, closets, as well as the interior spaces of vehicles such as automobile interiors, boat interiors, aircraft cabins, and the like.

While the invention is susceptible of various modifications and alternative forms, it is to be understood that specific embodiments thereof have been shown by way of example in the drawings which are not intended to limit the invention to the particular forms disclosed; on the contrary the intention is to cover all modifications, equivalents and alternatives falling within the scope and spirit of the invention as expressed in the appended claims.

25

30078 GB

## Claims:

1. A dispensing device for dispensing a volatile material to an ambient environment comprising:

5 a refill which includes a reservoir containing a volatile liquid, and a porous wick having a lower portion extending within the reservoir and adapted to be in fluid communication with said volatile liquid within the reservoir and an upper portion extending outside of the reservoir and adapted to be in fluid communication with an ambient environment, wherein

10 the upper portion of the porous wick has a shaped recess;

and,

15 a housing element adapted to engage the shaped recess in the upper portion of the porous wick when the dispensing device is assembled.

15 2. A dispensing device according to any preceding claim substantially as described with reference to the Figures.

20 3. A process for preventing the use of inappropriate refills in an air treatment device which contemplates the step of:

providing a dispensing device according to any preceding claim to an ambient environment.

30078 GB

**Abstract:**

5 A dispensing device for dispensing a volatile material to an ambient environment comprising: a refill containing a volatile liquid, a porous wick having a lower portion extending within the refill and adapted to be in fluid communication with said volatile liquid within the reservoir and an upper portion extending outside of the reservoir and adapted to be in fluid communication with an ambient environment, and a shaped recess within the upper portion of the porous wick, and further, housing element adapted to engage the shaped recess in the upper portion of the porous wick when the dispensing device is assembled. The dispensing device denies the use of inappropriate refills in the device. The dispensing device is particularly useful in dispensing volatile materials such as fragrances, odor masking agents, insecticides, medicaments as well as other volatile materials which may have a cosmetic, insecticidal or medical effect to an ambient environment.

13

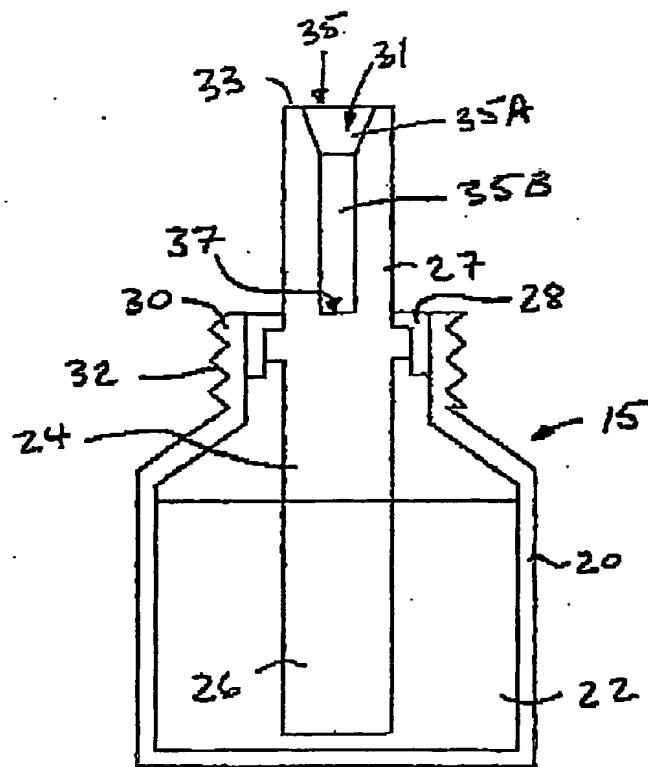


Figure 2

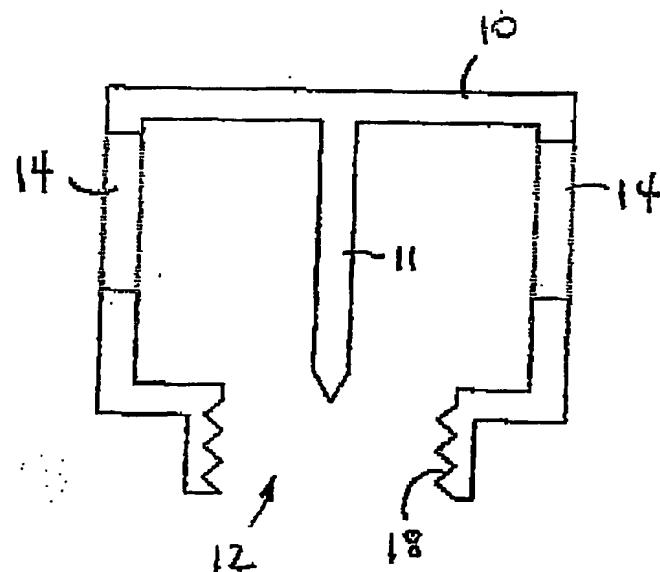
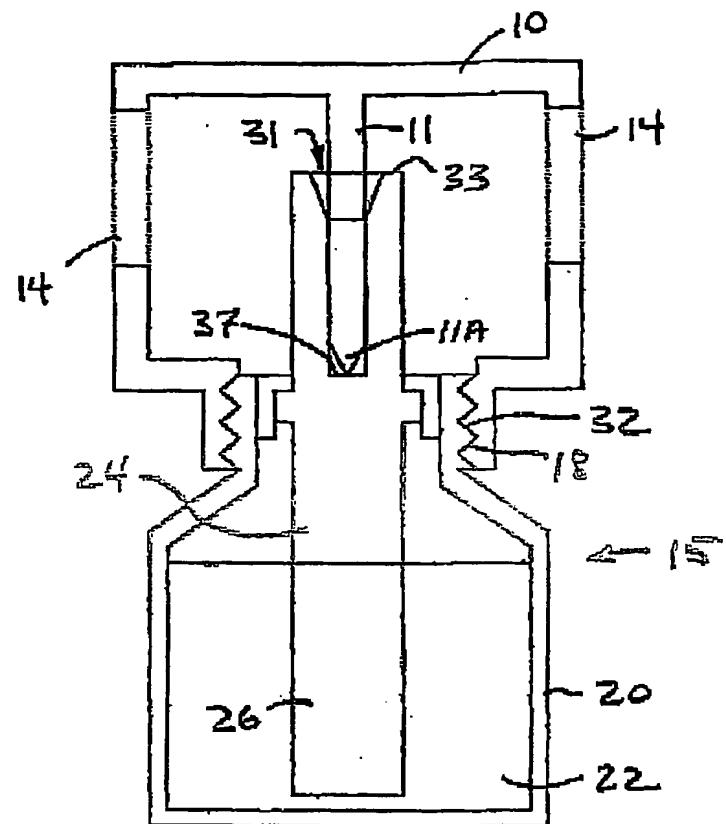
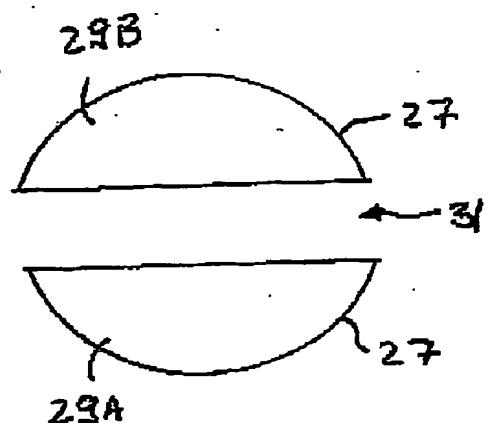
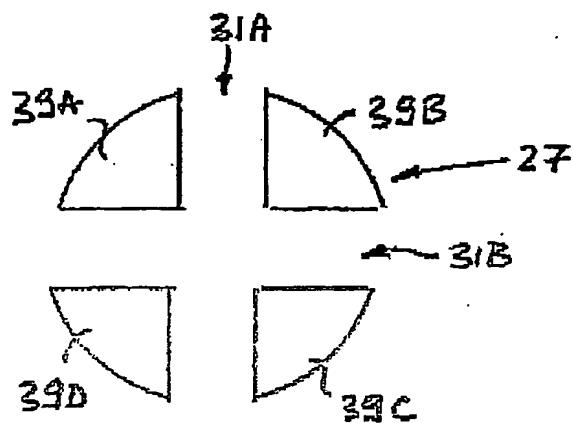
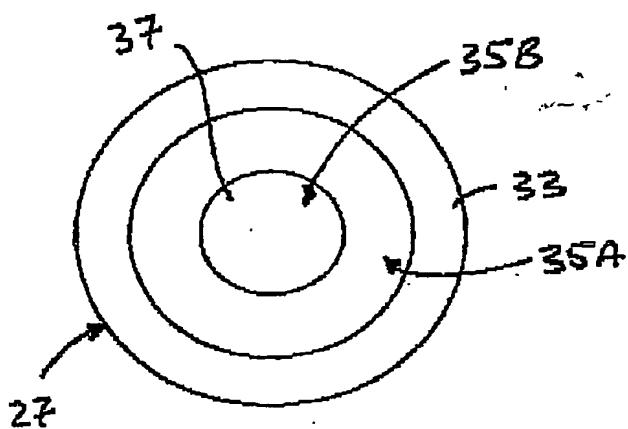


Figure 1



**Figure 3**

**Figure 5****Figure 6****Figure 4**

CONFIDENTIAL

PCT/CH2004/000260

